

2661

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

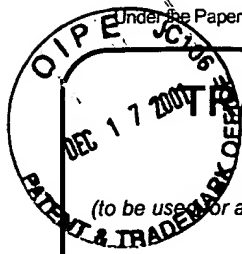
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#2
RM

12-26-01



| | | | |
|---|---|------------------------|-----------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/881,360 |
| | | Filing Date | June 13, 2001 |
| | | First Named Inventor | Steven E. Norby |
| | | Group Art Unit | 2661 |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | 1 | Attorney Docket Number | 020366-080400US |

RECEIVED
 DEC 19 2001
 Technology Center 2600

| ENCLOSURES (check all that apply) | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney and Statement Under 37 C.F.R. §3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 and Copies of 28 Patents Referenced Therein |
| Remarks: _____ | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm and Individual name | Townsend and Townsend and Crew LLP Thomas D. Franklin, Reg. No. 43,676 |
| Signature | |
| Date | 11/12/01 |

| CERTIFICATE OF MAILING | | | |
|---|-----------------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>Nov. 12, 2001</u> | | | |
| Typed or printed name | THERESA L. VANDERHOOF | | |
| Signature | | Date | 11.12.01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
DE 7055090 v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT
Attorney Docket No. 020366-080400US

Assistant Commissioner for Patents
Washington, D.C. 20231

On _____

TOWNSEND and TOWNSEND and CREW LLP

By: _____

RECEIVED
DEC 19 2001
Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven E. Norby

Application No.: 09/881,360

Filed: June 13, 2001

For: NEGOTIATED CALL DELIVERY
CAPABILITY

Examiner: Unassigned

Art Unit: 2661

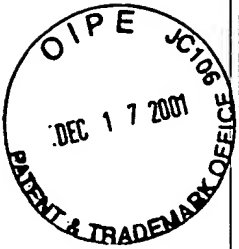
INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

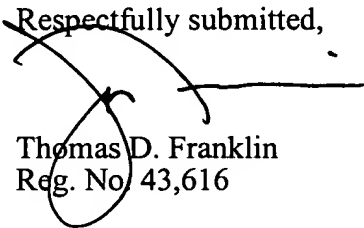
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and



no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Thomas D. Franklin
Reg. No. 43,616

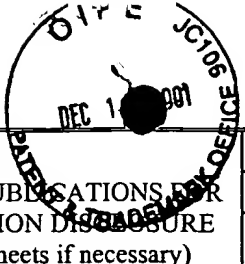
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
CSH:nlm

DE 7054857 v1

Technology Center 2600

DEC 19 2001

RECEIVED



| | | | | | | |
|---|--------------|----------|--------------------------------------|-------|-----------------------------|------------------------------|
| FORM PTO-1449 (Modified) | | | Attorney Docket No.: 020366-080400US | | Application No.: 09/881,360 | |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | Applicant: Steven E. Norby | | | |
| | | | Filing Date: June 13, 2001 | | Group: 2661 | |
| Reference Designation | | | U.S. PATENT DOCUMENTS | | | Page 1 |
| Examiner Initial | Document No. | Date | Name | Class | Sub-class | Filing Date (If Appropriate) |
| ___ AA | 5,307,059 | 04/26/94 | Connary et al. | 340 | 825.44 | |
| ___ AB | 5,394,560 | 02/28/95 | Kane | 455 | 12.1 | |
| ___ AC | 5,471,204 | 11/28/95 | Kudoh et al. | 340 | 825.44 | |
| ___ AD | 5,485,282 | 01/16/96 | Takeda | 358 | 435 | |
| ___ AE | 5,495,412 | 02/27/96 | Thiessen | 364 | 401 | |
| ___ AF | 5,504,837 | 04/02/96 | Griffeth et al. | 395 | 11 | |
| ___ AG | 5,604,491 | 02/18/97 | Coonley et al. | 340 | 825.44 | |
| ___ AH | 5,745,480 | 04/28/98 | Behtash et al. | 370 | 252 | |
| ___ AI | 5,761,397 | 06/02/98 | Bagley et al. | 395 | 114 | |
| ___ AJ | 5,774,674 | 06/30/98 | Gutmann et al. | 395 | 200.67 | |
| ___ AK | 5,805,301 | 09/08/98 | Rasanen | 358 | 425 | |
| ___ AL | 5,815,081 | 09/29/98 | Motohashi | 340 | 825.44 | |
| ___ AM | 5,818,871 | 10/06/98 | Blakeney, II et al. | 375 | 220 | |
| ___ AN | 5,870,549 | 02/09/99 | Bobo, II | 395 | 200.36 | |
| ___ AO | 5,936,547 | 08/10/99 | Lund | 340 | 825.44 | |
| ___ AP | 5,943,397 | 08/24/99 | Gabin et al. | 379 | 67.1 | |
| ___ AQ | 5,969,636 | 10/19/99 | Parvulescu et al. | 340 | 825.44 | |
| ___ AR | 5,999,563 | 12/07/99 | Polley et al. | 375 | 222 | |
| ___ AS | 6,021,158 | 02/01/00 | Schurr et al. | 375 | 211 | |
| ___ AT | 6,044,107 | 03/28/00 | Gatherer et al. | 375 | 222 | |
| ___ AU | 6,055,240 | 04/25/00 | Tunncliffe | 370 | 428 | |
| ___ AV | 6,061,570 | 05/09/00 | Janow | 455 | 458 | |
| ___ AW | 6,134,434 | 10/17/00 | Krishnamurthi et al. | 455 | 419 | |
| ___ AX | 6,141,328 | 10/31/00 | Nabkel et al. | 370 | 259 | |
| ___ AY | 6,160,639 | 12/12/00 | Lutgen et al. | 358 | 442 | |
| ___ AZ | 6,181,940B1 | 01/30/01 | Rune | 455 | 435 | |
| ___ BA | 6,188,907B1 | 02/13/01 | Reding et al. | 455 | 459 | |
| ___ BB | 6,215,413B1 | 04/10/01 | Gaskill | 340 | 825.44 | |
| EXAMINER | | | DATE CONSIDERED | | | |

RECEIVED
DEC 19 2001
Technology Center 2600

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.